

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	7039998
<b>Application Number:</b>	10767588
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6169
<b>Title of Invention:</b>	System and method for routing a trading order according to price
<b>First Named Inventor/Applicant Name:</b>	Thomas J. Daley
<b>Customer Number:</b>	63710
<b>Filer:</b>	Glen Farbanish/LAURA ALTO
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	04-6173
<b>Receipt Date:</b>	18-FEB-2010
<b>Filing Date:</b>	29-JAN-2004
<b>Time Stamp:</b>	16:18:00
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	2767
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6173_100218_IDS.pdf	43241 6c2cc0ceda0ca1e44b3172697e085c16e155b4fb	no	5
<b>Warnings:</b>					
<b>Information:</b>					
2	Information Disclosure Statement (IDS) Filed (SB/08)	04-6173_100218_1449.pdf	26570 d7fb30977ee05f009181db21cfd9ab5dc55d4003	no	1
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	60-706109_AP_08-05-2005.pdf	1836100 3484c08f7343d0b0914b1c228e81c678d509834f1	no	43
<b>Warnings:</b>					
<b>Information:</b>					
4	Foreign Reference	WO0193169A1.pdf	5515677 6910fb9ade1215c0bc3d6c58d0f30f3aa0842080	no	113
<b>Warnings:</b>					
<b>Information:</b>					
5	NPL Documents	AU2005208980_Examiners_Report_11-17-2009.pdf	148395 9a1678938ed7d86c9d565da551d4e8615ef28916d	no	2
<b>Warnings:</b>					
<b>Information:</b>					
6	NPL Documents	AU2005208978_Examiners_Report_11-26-2009.pdf	72721 3ba1e95a176171c7236d0d8f5a9f6cb39b59d0e05	no	1
<b>Warnings:</b>					
<b>Information:</b>					
7	NPL Documents	AU2005208981_Examiners_Report_12-14-2009.pdf	183718 08c08c280446d0d0c5f1117a9c3ea1106445d04f1	no	3
<b>Warnings:</b>					
<b>Information:</b>					

8	NPL Documents	10-767649_OA_08-27-2009.pdf	703229 8c7ee6b1c15c839cd8f9c90d147c372ec16e38ec	no	20
<b>Warnings:</b>					
<b>Information:</b>					
9	NPL Documents	10-767699_EXIN_12-23-2009.pdf	160235 2a27ed810d6d1ce1882c97b16a2b95c5b3c841	no	3
<b>Warnings:</b>					
<b>Information:</b>					
10	NPL Documents	10-767699_Ex_Answer_App.Br ief_12-24-2009.pdf	525562 b43a049111a26707079f1c04481d894edcb608be	no	16
<b>Warnings:</b>					
<b>Information:</b>					
11	NPL Documents	10-766965_Ex_Answer_App.Br ief_01-20-2010.pdf	569468 28bf288c2945c6db0be0411c394d12439831	no	19
<b>Warnings:</b>					
<b>Information:</b>					
12	NPL Documents	11-499496_NOA_02-17-2010.pdf	3892224 381d478b348d055d5cc690d51821b1ce746d1c	no	89
<b>Warnings:</b>					
<b>Information:</b>					
13	NPL Documents	Regulation_of_Specialists_Busi ness_Lawyer_11-2005.pdf	5323319 a8f8a220b58dd8d0c38c9c5f6c22f5db36f8e0dd	no	71
<b>Warnings:</b>					
<b>Information:</b>					
14	NPL Documents	Hybrid_Approach_NYSE_12-09 -2004.pdf	488822 0a1fa81971ab0766188f4b4e4ed6b70baa095872d	no	7
<b>Warnings:</b>					
<b>Information:</b>					
15	Fee Worksheet (PTO-875)	fee-info.pdf	30024 53b71e7de8807c1ee912f45f9412a4a81c428180	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			19519305		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.